

2768  
2161

# FULBRIGHT & JAWORSKI L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP

600 CONGRESS AVENUE, SUITE 2400

AUSTIN, TEXAS 78701

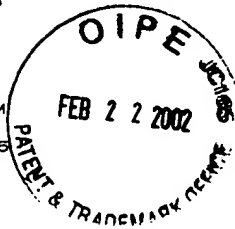
TELEPHONE: 512/474-5201  
FACSIMILE: 512/536-4598

DAVID L. PARKER  
PARTNER

INTERNET ADDRESS:  
DPARKER@FULBRIGHT.COM

DIRECT DIAL: 512/536-3055

HOUSTON  
WASHINGTON, D.C.  
AUSTIN  
SAN ANTONIO  
DALLAS  
NEW YORK  
LOS ANGELES  
MINNEAPOLIS  
LONDON  
HONG KONG



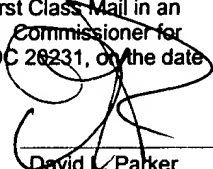
RECEIVED  
MAR 04 2002  
Technology Center 2100

#5

FILE: TTHC:003US

January 22, 2002

COPY OF PAPERS  
ORIGINALLY FILED

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:	
January 22, 2002	
Date	David L. Parker

Commissioner for Patents  
Washington, DC 20231

RE: *SN 09/550,752 "SYSTEM AND METHOD OF INVESTMENT MANAGEMENT INCLUDING MEANS TO ADJUST DEPOSIT AND LOAN DOCUMENTS FOR INFLATION" – Tomás Leon and Lewis J. Spellman*

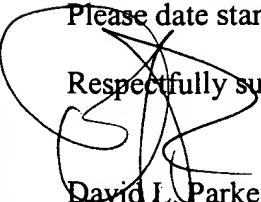
Sir:

Enclosed for filing in the above-referenced patent application is a Supplemental Information Disclosure Statement, Form PTO-1449, and references (C23).

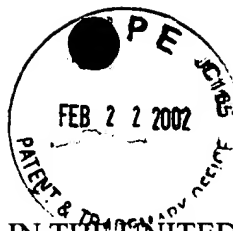
No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit Account No.: 50-1212/10013416/DLP.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

  
David L. Parker  
Reg. No. 32,165

DLP/cmb  
Encl: as noted



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Tomás Leon  
Lewis J. Spellman

Serial No.: 09/550,752

Filed: April 17, 2000

For: SYSTEM AND METHOD OF  
INVESTMENT MANAGEMENT  
INCLUDING MEANS TO ADJUST  
DEPOSIT AND LOAN DOCUMENTS  
FOR INFLATION

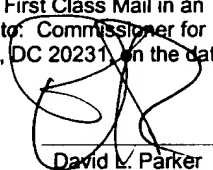
COPY OF PAPERS  
ORIGINALLY FILED

Group Art Unit: 2768

Examiner: Unknown

Atty. Dkt. No.: TTHC:003US/DLP

RECEIVED  
MAR 04 2002  
Technology Center 2700

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:	
January 22, 2002	
Date	David L. Parker

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

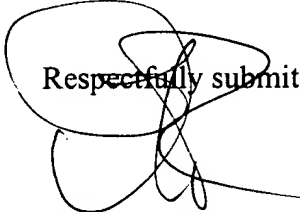
In accordance with 37 C.F.R §§ 1.97(g), (h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be

construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Supplemental Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit Account No.: 50-1212/10013416/DLP.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
David L. Parker  
Reg. No. 32,165  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 474-5201

Date: January 22, 2002